

OFFICIAL SUMMARY

SECURITIES TRANSACTIONS AND
HOLDINGS.

SECURITIES AND EXCHANGE

COMMISSION

1943.

RELEASE FOR P.M. NEWSPAPERS NOVEMBER 19, 1943

OFFICIAL SUMMARY OF SECURITY TRANSACTIONS AND HOLDINGS

REPORTED TO THE SECURITIES AND EXCHANGE COMMISSION

under the
Securities Exchange Act of 1934,
the Public Utility Holding Company Act of 1935
and the
Investment Company Act of 1940

PART I

*REPORTS OF OFFICERS, DIRECTORS, AND PRINCIPAL STOCKHOLDERS
UNDER THE SECURITIES EXCHANGE ACT OF 1934*

PART II

*REPORTS OF OFFICERS AND DIRECTORS OF PUBLIC UTILITY HOLDING
COMPANIES REGISTERED UNDER THE PUBLIC UTILITY
HOLDING COMPANY ACT OF 1935*

PART III

*REPORTS OF OFFICERS, DIRECTORS, PRINCIPAL SECURITY HOLDERS,
MEMBERS OF AN ADVISORY BOARD, INVESTMENT ADVISERS AND
AFFILIATED PERSONS OF INVESTMENT ADVISERS OF CLOSED-END
INVESTMENT COMPANIES REGISTERED UNDER THE INVESTMENT COMPANY
ACT OF 1940*

This summary includes all reports on Forms, 4, 5, 6, U-17-1, U-17-2,
N-30F-1, and N-30F-2 filed with the Commission

September 11 - October 10, 1943

which do not appear to require amendment or correction and reports for previous
months not previously published. Reports subsequently corrected or com-
pleted will be included in a succeeding issue of the Official Summary

SECURITIES AND EXCHANGE COMMISSION
Philadelphia, Pa.

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GENERAL

Information contained in this summary has been taken directly from reports filed with the Commission. Such information is necessarily condensed or abbreviated. The full reports may be inspected at the Commission or the exchange where they are filed.

Publication of this information is in no sense a representation that the data as filed are correct, complete, or genuine. Responsibility for accurate reports rests with the reporting individuals, and not with the Commission or with any exchange.

GUIDE TO SYMBOLS USED IN THIS SUMMARY

Reference to these symbols is essential for a full and accurate interpretation of the nature of transactions and holdings reported.

NATURE OF OWNERSHIP

- No Symbol - securities are directly owned.
- "1" - securities are directly held, but person making the report disclaims any admission that he is the beneficial owner of the securities.
- "2" - securities are owned through a partnership, trust or other intermediary; figures reported represent the total transactions and holdings of the intermediary.
- "3" - same as "2", except that person making the report disclaims any admission that he is the beneficial owner of the securities.
- "4" - securities are owned through a partnership, trust or other intermediary; figures reported represent only the proportionate interest of the person making the report.
- "5" - same as "4", except that person making the report disclaims any admission that he is the beneficial owner of the securities.
- "6" - securities are owned through a partnership, trust or other intermediary, but the report does not indicate whether the figures represent the total transactions and holdings of the intermediary or only the proportionate interest of the person making the report.
- "7" - same as "6", except that person making the report disclaims any admission that he is the beneficial owner of the securities.
- "8" - nature of ownership (i. e., direct or indirect) not reported.
- "9" - same as "8", except that person making the report disclaims any admission that he is the beneficial owner of the securities.
- "N" - NO EQUITY SECURITIES HELD, or, in the case of Parts II and III, NO SECURITIES HELD.

NATURE OF TRANSACTION (where other than purchase or sale)

- "G" - shares acquired or disposed of by GIFT.
- "S" - an increase in holdings through STOCK DIVIDEND.
- "B" - a change in holdings through BEQUEST or INHERITANCE
- "C" - receipt of securities as COMPENSATION.
- "E" - EXCHANGE or CONVERSION.
- "R" - REDEEMED (called, matured, retired).
- "T" - TRANSFERRED or ACCRUED.
- "D" - DISTRIBUTION.

RELATIONSHIP (appearing after the name of the reporter)

- "O" - OFFICER.
- "D" - DIRECTOR or person performing similar functions.
- "B" - BENEFICIAL OWNER of more than 10 percent of any registered equity security of the issuer in the case of Part I, or beneficial owner of more than 10 percent of any class of outstanding securities (other than short-term paper) of the issuer in the case of Part III.
- "V" - VOTING TRUSTEE.
- "T" - TRUSTEE.
- "M" - MEMBER OF COMMITTEE or MEMBER OF ADVISORY BOARD.
- "I" - INVESTMENT ADVISER.
- "A" - AFFILIATED PERSON OF INVESTMENT ADVISER.

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