

James & A in M.

A HISTORY OF POLITICAL THEORIES

RECENT TIMES

ESSAYS ON CONTEMPORARY DEVELOPMENTS
IN POLITICAL THEORY

CONTRIBUTED BY STUDENTS OF THE LATE

WILLIAM ARCHIBALD DUNNING, Ph.D., LITT.D., LL.D.

DIEBER PROFESSOR OF HISTORY AND POLITICAL PHILOSOPHY, THE UMBIA UNIVERSITY

IN AFFECTIONATE MEMORY AND GRATEFUL RECOGNITION OF HIS SCHOLARLY INSPIRATION AND SYMPATHETIO GUIDANCE AND COUNSEL

EDITED BY

CHARLES EDWARD MERRIAM, Ph.D., LLD. PROPESSOR OF POLITICAL SCIENCE. UNIVERSITY OF CHICAGO

AND

HARRY ELMER BARNES, Pu.D. PROFESSOR OF HISTORICAL SOCIOLOGY, SMITH COLLEGE

Arm Pork
THE MACMILLAN COMPANY
1924

All rights reserved

COPTRIGHT, 1924, By THE MACMILLAN COMPANY.

> Set up and electrotyped. Published September, 1924.

Printed in the United States of America by 3. J. LITTLE AND IVES COMPANY, NEW YORK

WILLIAM ARCHIBALD DUNNING

On August 25, 1922, Professor Dunning passed away, after a lingering illness following his collapse in February of that year. Professor Dunning was born in Plainfield, New Jersey, in 1859. He received the degree of bachelor of arts from Columbia University in 1881; from the same institution the master's degree in 1884 and the doctorate in 1885. The forty years of his academic life were spent in Columbia, where he was successively fellow, lecturer, instructor, adjunct professor professor Since 1913 he had occupied the Lieber professorship of history and political philosophy. The degree of doctor of laws was conferred upon him in 1904 and doctor of letters in 1916. His leadership in scholarly work was evidenced by the double honor of the presidency of the American Historical Association in 1913, and of the American Political Science Association in 1922. His presidential address was to have been given at the December (1922) meeting of the latter association.

Professor Dunning's work was crowned with unusual success in three fields, as a teacher, as an editor, and as a scholar in the fields of history and government. As a university lecturer, Professor Dunning was a marvel of lucidity and keenness, and left an ineffaceable impression upon the hundreds of students who attended his courses during the long period of his academic career. He was equally notable in his power to interest and encourage students in special fields of inquiry, and in his many stimulating contacts with those who had passed out from the university halls as students. The hundred volumes of the Columbia publications in history, economies, and public law are full of acknowledgments of his friendly interest and counsel in the development of scholarly studies. His students published in 1914 Studies in Southern History and Politics as a testimonial to his inspiring work in this field, and the present volume is a product of the appreciation by his students in the history of political theory of his notable contributions to this department of learned endeavor

He was one of the active group of editors of the *Political Science Quarterly* from 1890 to the time of his death, and managing editor from 1894 to 1903. His discriminating judgment and his editorial care and skill were significant factors in creating and maintaining the high standards of a periodical notable in the field of political science. Ten years of his life were largely occupied with this exacting labor, wearing upon the editor, but immensely useful to his collaborators in the field of government.

The contributions of Dr. Dunning to productive scholarship were made in the fields of American history and political theory, and particularly in the latter field. His doctoral dissertation was on The Constitution of the United States in Civil War and Reconstruction (1885). This was followed in later years by his Essays on the Civil War and Reconstruction, published in 1898, and Reconstruction, Political and Economic, a volume in the American Nation Series. In 1907 with Frederick A. Bancroft he edited The Reminiscences of Carl Schurz (1907-17). In 1914 he published a very remarkable survey of Anglo-American relations under the title of The British Empire and the United States.

His outstanding contribution to the study of political philosophy was his History of Political Theories, Ancient and Mediaval 1902), with the succeeding volumes, From Luther to Montesquieu (1905), and From Rousseau to Spencer (1920). These lucid and scholarly accounts of the development of systematic political thinking quickly superseded the earlier works of Bluntschli and Janet, and became the standard histories of the evolution of political thought, the indispensable guide for all serious students of formal political philosophy. Perhaps the most striking characteristic of the opus magnum was its dispassionate and objective quality, its detached point of view. Few men of equal ability have been able to resist the temptation to formulate an independent system and advance a dogmatic philosophy. In the final chapter of the concluding volume this attitude developed into a form of pessimism, which was not, however, characteristic of the study as a whole. No one in the last generation has done more than the author of these volumes to advance the study of formal political theory, and to prepare the way for the increasingly intensive study of the evolution of the political mind.

Finally, it may not be amiss to say that Professor Dunning was in the true sense of the term a noble man, as well as a great

scholar, and that his personal qualities endeared him to all who came within the bright circle of his acquaintance. He combined in unusual manner great keenness of mind with rare tolerance and breadth of sympathy. Spirited and witty in conversation, he never allowed the scholar to overshadow the man. In his benevolent rôle in his favorite haunt in the Century Club, he became almost an institution.

The departure of Professor Dunning in his sixty-fourth year was a heavy blow to American scholarship. With the death of Lord Bryce, a former president of the Political Science Association, the year 1922 marked the passing of two preëminent figures in the field of history and government. While their walks in life were far apart, and their types of experience widely different, yet they had in common many intellectual characteristics. In both there was a sympathetic understanding of all types of thought; in both a quality of facile and lucid expression; in both an aversion to dogmatic conclusions. In both there was a strain of weariness and pessimism at the end, but the lives of both radiate inspiration and cheer to those who seek the truth in the troubled maze of political events.

This volume has been prepared by students of Professor Dunning who seek in this manner to express their appreciation of what he gave them individually as students, and what he did for the systematic study of political science, and especially of political theory. This work was projected before Professor Dunning's untimely death, and was planned as a tribute to a living scholar. But as fate decreed it will be a memorial to one who has passed on. We cannot present the volume to him in person, but we can and do dedicate it to him and to the spirit of scholarship which he so long embodied and which still radiates from his memory.

The third volume of Professor Dunning's study of political ideas, History of Political Theories, Rousseau to Spencer, reached into the third quarter of the 19th century. Since that time there have been many interesting and significant developments in political thought, which the present volume presents and interprets. Some of the developments here discussed overlap slightly the field traversed by Professor Dunning, but only so far as is necessary to establish their continuity and to make clear their significance.

It is the hope of the writers that these chapters will provide

material for the understanding and appreciation of the more important movements in political theory during the recent period. It is true that the method and direction of some of these movements is not yet fully apparent, but it is believed that that task of interpreting our time will be made easier by the analysis here presented.

CONTENTS

RECENT TENDENCIES IN POLITICAL THOUGHT By Charles E. Merriam, University of Chicago The Outstanding Social Forces of the Period Leading Groups that Developed Systems of Political Rationalization The Intellectual Equipment of Contemporary Political Theorists It Methods of Political Inquiry Actual Progress in Political Speculation II Some Recent Critics and Exponents of the Theory of Democracy By Malcolm M. Willey, Dartmouth College Introduction Uncritical Advocates of Democracy Antagonists of Democracy Differential Biology and Psychology The Exponents of Democracy The Exponents of Democracy II Pluralistic Theories and the Attack upon State Sovereignty By F. W. Coker, Ohio State University The Traditional Doctrine of State Sovereignty State Sovereignty and Group Autonomy State Sovereignty and Internationalism 98 State Sovereignty and Law 100 Conclusions 111 VIV Political Theory and International Law 120 120 13 14 15 16 17 18 18 19 19 10 10 10 10 10 10 10 10	CHAPT	TR.			PA6E
The Outstanding Social Forces of the Period Leading Groups that Developed Systems of Political Rationalization		RECENT TENDENCIES IN POLITICAL THOUGHT .	•	•	1
Leading Groups that Developed Systems of Political Rationalization		By Charles E. Merriam, University of Chicago	•		
Rationalization		The Outstanding Social Forces of the Period			1
The Intellectual Equipment of Contemporary Political Theorists		Leading Groups that Developed Systems of I	Politic	al	
Methods of Political Inquiry		Rationalization		•	6
Methods of Political Inquiry		The Intellectual Equipment of Contemporary	Poli	ti-	
Actual Progress in Political Speculation		cal Theorists	•		12
OF DEMOCRACY		Methods of Political Inquiry			16
By Malcolm M. Willey, Dartmonth College Introduction		Actual Progress in Political Speculation .	•	-	21
By Malcolm M. Willey, Dartmouth College Introduction	v II	Some Recent Critics and Exponents of the	Тнео	RY	لأخو
Introduction		of Democracy	•	•	46
Uncritical Advocates of Democracy		By Malcolm M. Willey, Dartmouth College			
Antagonists of Democratic Theory		Introduction	•	٠	4 6
Differential Biology and Psychology		Uncritical Advocates of Democracy	•	•	49
The Exponents of Democracy		Antagonists of Democratic Theory	•	•	50
The Basic Problems		Differential Biology and Psychology	. •		57
Sovereignty		The Exponents of Democracy	٠		61
SOVEREIGNTY By F. W. Coker, Ohio State University The Traditional Doctrine of State Sovereignty The Pluralistic Attacks State Sovereignty and Group Autonomy State Sovereignty and Internationalism State Sovereignty and Law Conclusions 111 VIV POLITICAL THEORY AND INTERNATIONAL LAW 120		The Basic Problems	•	•	77
By F. W. Coker, Ohio State University The Traditional Doctrine of State Sovereignty . 80 The Pluralistic Attacks 89 State Sovereignty and Group Autonomy 89 State Sovereignty and Internationalism	VIII	PLURALISTIC THEORIES AND THE ATTACK UPON	STA	TE	
The Traditional Doctrine of State Sovereignty		SOVEREIGNTY	•	•	80 <i>0</i>
The Pluralistic Attacks		By F. W. Coker, Ohio State University			
State Sovereignty and Group Autonomy		The Traditional Doctrine of State Sovereignty	•	•	80
State Sovereignty and Internationalism		The Pluralistic Attacks	•	•	89
State Sovereignty and Law		State Sovereignty and Group Autonomy .		•	89
Conclusions		State Sovereignty and Internationalism .	•		98
✓ IV POLITICAL THEORY AND INTERNATIONAL LAW 120		State Sovereignty and Law	•		100
•		Conclusions	•	•	111
	✓ IV		hasl		120

CHAPTE								PAGE			
V	RECENT POLITICAL THEORY DEV						Æ	141			
	By Calch Perry Patterson	, Uni	versit	y of	Texa	s					
	The Nature, Scope and Meth	iods -	of Ju	rispr	uden	ce		141			
	The Schools of Jurisprudence	e:e				•		145			
	The Analytical School		•	-	-			145			
	The Historical School .			•		•		148			
	The Philosophical School							151			
	The Comparative School				•			158			
	The Sociological School					-		161			
	Recent Tendencies in Jurisp	ruder	ac e	•	•	•	•	176			
· VI	PROLETARIAN POLITICAL THEORY							178 0			
	By Paul H. Douglas, University of Chicago										
	The Purpose and Setting of P	roiet		Poli	icai	Lpeor	У	178			
	Collectivism	•	•	•	•	•	•	182			
	Anarchism	•	•	•	•	•	•	197			
	Syndicalism	•	•	•	•	•	•	216			
		•	•	•	•	•	•	227			
	•	•	•	•	•	•	٠	234			
		•	•	•	•	•	•	242			
	The Single Tax	•	•	•	•	•	•	246			
	Bolshevism	•	•	•	•	•	•	254			
VII	The state of the s										
	IN GERMANY, 1863-1914	-	•	•		•		270			
	By Carlton J. H. Hayes,	Colu	mbia	Univ	ersit	y					
	The Lack of Revolutionary I	'radi	tion i	in Ge	rman	y		270			
	The Influence of Lassalle							274			
	The Era of Persecution .							284			
	Patriotism and Revisionism							294			
	The Fateful Election of 1907	and	the	Triu	nph -	of Re	-				
	visionism							301			
VIII	POLITICAL IMPLICATIONS OF	Rec	ENT	Рнг	Losoi	PHICA	L				
	MOVEMENTS		•					313			
	By Herbert W. Schneider, ('olun	abia l	Unive	rsity						
	The Divisi Marks 1.							314			
	Idealism					_		314			

~ *			
co	NT	$\mathbf{H}.\mathbf{N}$	TS

χį

CHAPTER	Naturalism and Evolutionism		_	321	
	Pragmatism and Experimentalism			332	
	Fundamental Issues		•	338	
	Monism versus Pluralism			338	
	Moralism versus Non-Moralism			343	
	Democratic versus Aristocratic Ideals			34 9	
IX	SOME CONTRIBUTIONS OF SOCIOLOGY TO MODERN POL	ITICAI	Ŀ		
	THEORY			357	Ċ
	By Harry Elmer Barnes, Smith College			^	
	0.	•	•	357	
•	The Sociological View of the Nature of the State	•	٠	361	
	The Origins of Political Institutions	•	•	366	
	The Basic Factors in the State		•	370	
	The Forms of the State and Government .		•	377	
	The Processes and Mechanism of Government .		•	380	
	Sociological Opinion on Liberty and Rights .	•		385	
	Social Progress and the Scope of State Activity			388	
	International Relations			392	
	Extra-Legal Phases of Political Institutions .			395	
	Political Theory and the Social Environment			398	
	Concluding Estimate			399	
X	SOCIAL PSYCHOLOGY AND POLITICAL THEORY .			403	•
v	By Charles Elmer Gehlke, Western Reserve U	niver	-		
	The Group and Social Control			403	
	Psychological Factors in Social Control .			407	
	The Cultural Analysis of Political and Social F	acts		417	
	Some Practical Applications of the Psychologica)-		
	proach to Politics			421	
XI	ANTHROPOLOGICAL THEORIES OF POLITICAL ORIGINS			430	
	By Alexander A. Goldenweiser, New School Social Research	for			
	The Basic Forms of Social Organization .			430	
	Theories of Social and Political Evolution .	•		43 3	
	Development of the Evolutionary Position .			433	
	Criticism of the Evolutionary Scheme of Morg	an		438	
	Primitive Political Organization	•		445	
	-				

CONTENTS

		•
₩	4	
4	1	

CHAPTE			,							_	P&C1
XII	Some Represe				RIB U T	-	OF	ANT	HROP	0-	455
	GEOGRAPHY							•	•	•	457
	By Fran	ktin Th	oma	s, Co	lumb	ia Un	ivers	ity			
	Introductory	•	•	•	•	•	•	•	•	•	457
	Karl Ritter		•			•		•	•		460
	Henry Thom	as Buc	kle		•			•	•	•	4 65
	Friedrich Ra	atzel							•		471
	Ellen Church	nill Ser	որ le								479
	Jean Jacque	s Elisée	Re	elus							482
	Halford Joh	n Macl	inde	٠٢.		•		•			488
	Ellsworth H	untingt	υn								494
	Edwin Grant	Dexte	r					•			500
	Robert H. L	owie				•					504
XIII	RACE AS A FACT	YOR TX	Port	TICAL	. Тня	ORY			_		508
	By Fre						ileo e	,	•	•	000
	Introduction		12.117	() Cres	. 193321						508
	Aryanism	•		•	•	•	•	•	•	•	512
	•	•	'	•	•	•	•	•	•	•	
	Teutonism	•		•	•	•	•	•	•	•	517
	Anthropo-Soc	riology			•	•		•	•		526
	Anglo-Saxoni	ism and	Ter	itonis	sm in	Ame	rica				531
	American No	rdicism	ŧ						•		540
	INDEX .										550